

04-06-01

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PTO/SB/05 (08/00)

Approved for use through 10/31/2002, OMB 0651-0032

04/05/01

JC962 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 1.53(b))

Attorney Docket No. 11983.0076

First Inventor Olsen, et al

Title Method, Article of Manufacture

Express Mail Label No. EK837074365US

JC962 U.S. PTO
09/26/52

04/05/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

 ADDRESS TO: Assistant Commissioner for Patent
 Box Patent Application
 Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See CFR 1.27.
3. ☒ Specification [Total Pages / 28 /]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets / 5 /]
5. ☒ Oath or Declaration [Total Pages / 3 /]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Checks in the amount of \$782, \$188, \$40

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: ___/___

Prior application information: Examiner: _____

Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

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"Express Mail" Mailing Label Number EK837074365US

Date of Deposit April 5, 2001

04/05/01
3962 U.S. PTO

Approved for use through 10/31/2002, OMB 0651-0032

FEE TRANSMITTAL for FY 2000

Patent Fees are subject to annual revision.

Application Number	
Filing Date	April 5, 2001
First Named Inventor	Olsen, et al
Examiner Name	
Group/Art Unit	
Attorney Docket Number	11983.0076

TOTAL AMOUNT OF PAYMENT (\$ 1,010)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

3. ADDITIONAL FEES

Deposit Account Number: 08-2442
Deposit Account Name:
Hodgson Russ LLP

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
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☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

105	130	205	65	Surcharge - late filing fee or oath	\$
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☐ Applicant claims small entity status. See 37 CFR 1.27.

127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
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2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

139	130	139	130	Non-English specification	\$
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FEE CALCULATION

147	2,520	147	2,520	For filing a request for reexamination	\$
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1. FILING FEE

Large Entity Small Entity

112	920*	112	920*	Requesting Publication of SIR prior to Examiner Action	\$
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Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
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113	1,840*	113	1,840*	Requesting Publication of SIR after Examiner Action	\$
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101	710	201	355	Utility filing fee	\$ 710
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115	110	215	55	Extension for reply within first month	\$
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106	320	206	160	Design filing fee	\$
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116	390	216	195	Extension for reply within second month	\$
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107	490	207	245	Plant filing fee	\$
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117	890	217	445	Extension for reply within third month	\$
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108	710	208	355	Reissue filing fee	\$
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118	1,390	218	695	Extension for reply within fourth month	\$
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109	150	214	75	Provisional filing fee	\$
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128	1,890	228	945	Extension for reply within fifth month	\$
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SUBTOTAL (1)

119	310	219	155	Notice of Appeal	\$
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2. EXTRA CLAIM FEES

Extra Fee from Claims below

Fee Paid

120	310	220	155	Filing a brief in support of an appeal	\$
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Total Claims / 30/ -20** = /10/ x /18/ = \$ 180

121	270	221	135	Request for oral hearing	\$
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Independent Claims /4/ - 3** = /1/ x /80/ = \$ 80

138	1,510	138	1,510	Petition to institute a public use proceeding	\$
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Multiple dependent / / x / / = \$

140	110	240	55	Petition to revive - unavoidable	\$
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Large Entity Small Entity

141	1,240	241	620	Petition to revive - unintentional	\$
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Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
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142	1,240	242	620	10 advance copies Utility issue fee (or reissue)
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103	18	203	9	Claims in excess of 20
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143	440	243	220	Design issue fee
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102	80	202	40	Independent claims in excess of 3
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144	600	244	300	Plant issue fee
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104	270	204	135	Multiple dependent claim if not paid
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122	130	122	130	Petitions to the Commissioner
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109	80	209	40	**Reissue independent claims over original patent
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123	50	123	50	Petitions related to provisional applications
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110	18	210	9	**Reissue claims in excess of 20 and over original patent
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126	240	126	240	Submission of Information Disclosure Statement
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SUBTOTAL (2)

581	40	581	40	Recording each patent assignment per property (times number of properties)
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SIGNATURE: 

146	710	246	355	Filing a submission after final rejection(37 CFR 1.129(a))
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Reg. No. 40,786

149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))
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DATE: April 5, 2001

Telephone: (716) 848-1510

*Reduced by basic filing fee paid

SUBTOTAL (3)

\$ 40

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Date of Deposit April 5, 2001